

FONDOS SURA

PERU

Organization: Investor

Category: Responsible Investment

General Information

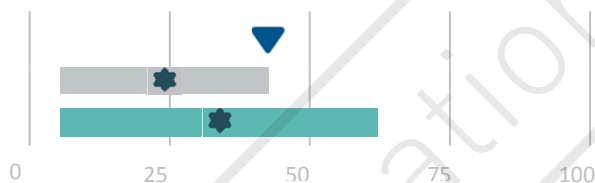
Fondos SURA SAF S.A.C. (Fondos Sura) operates mutual funds portfolios, which are invested in the local and international market through debt and capital instruments. The company is a subsidiary of Grupo Sura. Fondos Sura was founded in 2005 and is based in Lima, Peru.

Overall Responsible Investment Performance

COMPANY GLOBAL SCORE AND PERFORMANCE

43

60-100 / 100 Advanced
50-59 / 100 Robust
30-49 / 100 Limited
0-29 / 100 Weak



Integration of ESG in Corporate Governance
Robust

Overall Score
Limited

Integration of ESG aspects in investments activities

Leadership
Advanced

Implementation
Limited

Results
Limited



Key Issues

Financial companies involved in investment or asset management activities have the duty to act in the best long-term interests of their beneficiaries. The adoption of a responsible investment strategy, considering ESG aspects in investment decisions can be a critical factor to fulfil this fiduciary duty. At the same time, the integration of ESG aspects in the governance and control systems of the financial companies themselves can result in a better understanding and monitoring of ESG risks inherent to the company investment strategy and investee companies.

Company Performance

Fondos Sura performance in Responsible Investment is limited.

- The Company's internal control system covers some ESG risks inherent to the company's such as business ethics and human capital issues. Moreover, ESG issues are review in risk committee, which is composed by non-executives members. In addition, training to the board members is provided upon joining the Board.
- As the Company is owned by Grupo Sura, Fondos Sura adopted Grupo Sura's investment policy, which integrate all relevant ESG issues and is supported by Grupo Sura risk committee.
- The Company conducts exclusionary screening, not investing in sectors or activities stipulated in the Responsible Investment Policy and ethical principles upheld by Grupo Sura and also manage procedures in investment decision. However it does not disclose if the company use more ESG strategies such as positive screening or company engagement for instance.
- Finally, Fondos Sura does not disclose its share of SRI assets on total assets under management.